

Filed or Issued: _____

For: Process for Waste Plastic Recycling

— Express Mail No: TB138968049U—

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) and 1.27 (b)) — INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled Process for Waste Plastic Recycling described in

☒ the specification filed herewith

☐ application serial no. _____, filed _____

☐ patent no. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☐ no such person, concern, or organization

☒ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME The University of Wyoming Research Corporation
d/b/a Western Research Institute
 ADDRESS 365 North 9th Street, Laramie, Wyoming 82071-3395
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

FULL NAME _____
 ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____
 ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

<u>Frank D. Guffey</u>	<u>Floyd Alan Barbour</u>	
NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
<u>Frank D. Guffey</u>	<u>Floyd Alan Barbour</u>	
Signature of Inventor	Signature of Inventor	Signature of Inventor
<u>Aug. 21, 1995</u>	<u>8/21/95</u>	
Date	Date	Date

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) and 1.27 (d)) — NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

The University of Wyoming Research Corporation
NAME OF ORGANIZATION d/b/a Western Research Institute
ADDRESS OF ORGANIZATION 365 North 9th Street, P.O. Box 3395, Laramie, WY 82071-3395
University Station, Laramie, Wyoming 82071-3395

TYPE OF ORGANIZATION

- ☒ University or other institution of higher education
☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3))
☐ Nonprofit scientific or educational under statute of state of The United States of America
(Name of state _____)
(Citation of statute _____)
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3)) if located in
The United States of America
☐ Would qualify as nonprofit scientific or educational under statute of state of The United States of America if located
in The United States of America
(Name of state _____)
(Citation of statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9 (e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled Process for Waste Plastic Recycling by inventor(s) _____ described in _____

- ☒ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9 (d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Samuel M. Dorrence
TITLE IN ORGANIZATION Vice President and Assistant Secretary JMD 8/21/95
ADDRESS OF PERSON SIGNING 365 North 9th Street, P.O. Box 3395, Laramie, WY 82071-3395
University Station, Laramie, Wyoming 82071-3395

SIGNATURE Samuel M. Dorrence DATE 8/21/95

I hereby claim the benefit under 35, United States Code, §120 of any U.S. application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the [redacted] of this application is not disclosed in the [redacted] prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

U.S. APPLICATIONS		STATUS (Check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
09/028,944	10 March 1993			<input checked="" type="checkbox"/>

PCT APPLICATIONS DESIGNATING THE U.S.

PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		
PCT/US94/002433	08 March 1994			

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

Send Correspondence to:

Direct Telephone Calls to:
(name and telephone number)

201	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
		Guffey	Frank	D.
		Laramie	Wyoming	U.S.A.
		5905 Chapparal Drive	Laramie	Wyoming 82070/U.S.A.
202	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
		Barbour	Floyd	Alan
		Laramie	Wyoming	U.S.A.
		4429 Comanche Drive	Laramie	Wyoming 82070/U.S.A.
203	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201

SIGNATURE OF INVENTOR 202

SIGNATURE OF INVENTOR 203

Frank D. Guffey

Floyd Alan Barbour

Attorney Docket No: WRIPLASTIC

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

In Re the Application of: Frank D. Guffey and Floyd Alan Barbour

For: Process for Plastic Recycling

Serial Number: _____

Filed: _____

Assignee: The University of sm 8/21/95
A Wyoming Research Corporation d/b/a Western Research Institute

POWER OF ATTORNEY

The University of sm 8/21/95

A Wyoming Research Corporation d/b/a Western Research Institute, hereby appoints Santangelo Law Offices, P.C., whose mailing address is 315 West Oak Street, Suite 701, Fort Collins, Colorado 80521, including Luke Santangelo, whose registration number before the United States Patent and Trademark Office is 31,997, and William F. Vobach whose registration number before the United States Patent and Trademark Office is 39,411 as its attorney/agent to prosecute this application entitled "Process for Plastic Recycling" and to transact all business in the Patent Office connected therewith.

Date: 8/21/95

The University of sm 8/21/95
A Wyoming Research Corporation

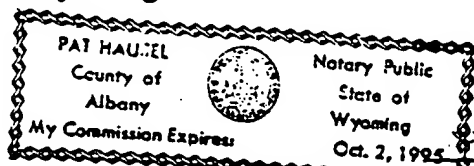
by: Samuel M. Dorrence
Samuel M. Dorrence, Vice President and Assistant Secretary

805150-00000000

UNITED STATES OF AMERICA)
)
STATE OF WYOMING)ss.
)
COUNTY OF Albany)

SUBSCRIBED AND SWORN to before me in the County of Albany, State of Wyoming, United States of America, by Samuel M. Dorrence, as Vice President, of Wyoming Research Corporation, this 21st day of August, 1995. ^{and Assistant smd 8/24/95}
secretary

WITNESS my hand and official seal pursuant to the authority vested in me as a Notary Public by the State of Wyoming.



Pat Hauzel
Notary Public
My Commission Expires: October 2, 1995

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